PTO/SB/05 (03-01)

Approved for use through 10/31/2002, OMB 0651-0032

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 41020.P005

First Inventor Satoshi Nakajima

Title Field Extensible Controllee Sourced Universal...

(Only for new nonprovisional applications under 37 CFR 1.53(b)

Title | Express Mail Label No. EV051081754US

	ATION ELEMENTS		Ass	sistant Co	mmiss	ioner for Patents
	cerning utility patent application conter	1		x Patent Aashington,		
Fee Transmittal F	orm (e.g., PTO/SB/17)	7.	CD-ROM or CD-R i			
(Submit an original and a	duplicate for fee processing) small entity status.	0.14	Computer Program	(Appendi	x)	ဥ
2. See 37 CFR 1.27			cleotide and/or Amino / applicable, all necessal		ence S	ے۔ Submission
3. Specification (preferred arrangement)		a.	Computer Reada	ble Form (	(CRF)	N.S
- Descriptive title - Cross Reference	of the invention to Related Applications	b.	Specification Sequence	e Listing o	n:	) ( ) (
- Statement Reg	arding Fed sponsored R & D		i. CD-ROM	or CD-R (2	2 copie	es); or
	equence listing, a table, program listing appendix		ii. paper			ij
- Background of - Brief Summary		C.	Statements verify	ing identit	y of ab	ove copies
- Brief Description	on of the Drawings (if filed)	<u> </u>	<b>ACCOMPANYING</b>	APPLIC	CATIO	ON PARTS
- Detailed Descri - Claim(s)	iption	9.	Assignment Paper	s (cover s	heet &	document(s))
- Abstract of the	Disclosure	10.	37 CFR 3.73(b) S		V	Power of Attorney
4. Drawing(s) (35 U	Drawing(s) (35 U.S.C. 113)   Total Sheets 10   1   English Translation Docume					
5. Oath or Declaration	[ Total Pages 6	1 12.	Information Disclo			Copies of IDS
a. Newly executed (original or copy)  Statement (IDS)/PTO-1449  Citations  13. Preliminary Amendment						— Okadons
Copy from a	Copy from a prior application (37 CFR 1.63 (d))					
	i. DELETION OF INVENTOR(S) Signed etatement attached datating uncertar(s)  15. Certified Copy of Priority Document(s) (if foreign priority is claimed)					
named in	named in the prior application, see 37 CFR  Nonpublication Request under 35 U.S.C. 122					
1.63(d)(2) and 1.33(b). (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.						
6 Application Data	6. Application Data Sheet. See 37 CFR 1.76					
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,						
or in an Application Data She		ND.				
Prior application information	Divisional Continuation-in-part (C	JP)	of prior application No :			
Prior application information Examiner: Group Art Unit:  For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under						
Box 5b, is considered a part of	f the disclosure of the accompanying co- relied upon when a portion has been ina	ntinuation or di	visional application and i	s hereby i	corpo	rated by reference.
	19. CORRESPO	NDENCE ADD	RESS			
Customer Number or Bar Co	ode Label 000025	943 In bar code label he	or	Correspon	dence a	ddress below
Name	Columbia IP Law Group,	PC				···
	10260 SW Greenburg Road					
Address	Suite 820					
City	Portland	State	Oregon	Zip (	Code	97223
Country	USA	Telephone	(503) 595-2800	Fa	ЭX	(503) 595-2804
	e) Aloysius T.Ç, AuYeung Registration No. (Attorney/Agent) 35,432					
Name (Print/Type)	Aloysius T.Ç, AuYeung	Reg	istration No. (Attorne	y/Agent)		35,432

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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Please type a plus sign (+) inside this box	[+]	PTO/SB/21 (08-00)
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Under the Paperwork Reduction Act of 1995, no persons are	required to respo	U.S. Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE

	Application Number	Not yet assigned				
TRANSMITTAL	Filing Date	November 21, 2001				
FORM	First Named Inventor	Satoshi Nakajima				
(to be used for all correspondence after initial filing)	Group Art Unit	Not yet assigned				
Examiner Name Not yet assigned						
Total Number of Pages in This Submission	Attorney Docket Number	41020.P005				
ENCLOSURES (check all that apply)						

Fee Transmittal Form  Assignment Papers (for an Application)  Fee Attached  Drawing(s)  Appeal Communication to Board of Appeals and Interferences  Amendment / Reply  Licensing-related Papers  After Final  Petition  Proprietary Information  Affidavits/declaration(s)  Petition Drawing(s)  Provisional Application  Proprietary Information  Status Letter  XX Other Enclosure(s) (please identify below):  Express Abandonment Request  Information Disclosure Statement  Request for Refund  Request for Refund  Response to Missing Parts/Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  After Allowance Communication to Group (Appeal Communication to Board of Appleal Communication to Group (Appleal Notice, Brief, Reply Brief)  Petition  Proprietary Information  Status Letter  XX Other Enclosure(s) (please identify below):  1. Return Receipt Postcard 2. Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  Printed Notice of Suspension of Service by USPS (1 page)	ENCLOSURES (check al					all that apply)
Amendment / Reply  Licensing-related Papers  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Petition  Petition  Petition  Petition  Proprietary Information  Status Letter  Status Letter  Power of Attorney, Revocation Change of Correspondence Address  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Request for Refund  Response to Missing Parts/Incomplete Application  Response to Missing Parts  Index 37 CFR 1.52 or 1.53  Licensing-related Papers  Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  Proprietary Information  Status Letter  XX Other Enclosure(s) (please identify below):  1. Return Receipt Postcard 2. Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX and 205XX (3 pages)  3. Sealed Express Mail Package- EV051081754US containing materials listed in item No. 2 (1 envelope)  4. Declaration of Michelle Turner in Support of Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  5. Printed Notice of Suspension of Service by USPS (1 page)	Fee Transmittal	Form		Assignment Papers (for an Application)		After Allowance Communication to Group
After Final  Petition  Proprietary Information  Affidavits/declaration(s)  Petition by Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Express Abandonment Request  Terminal Disclaimer  Information Disclosure Statement  Request for Refund  Request for Refund  Certified Copy of Priority Document(s)  Response to Missing Parts/Incomplete Application  Response to Missing Parts in term No. 2 (1 envelope)  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  Status Letter  XX Other Enclosure(s) (please identify below):  1. Return Receipt Postcard 2. Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service to Zip Codes 202XX AND 205XX (3 pages)  3. Sealed Express Mail Package- EV051081754US containing materials listed in item No. 2 (1 envelope)  4. Declaration of Michelle Turner in Support of Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  5. Printed Notice of Suspension of Service by USPS (1 page)	Fee Attack	hed		Drawing(s)		Appeal Communication to Board of Appeals and Interferences
Affidavits/declaration(s)  Petition to Convert to a Provisional Application  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Request for Refund  Response to Missing Parts under 37 CFR 1.52 or 1.53  Petition to Convert to a Provisional Application  Power of Attorney, Revocation Change of Correspondence  Address  Terminal Disclaimer  Terminal Disclaimer  Request for Refund  Request for Refund  Copy of Priority Document(s)  Status Letter  XX Other Enclosure(s) (please identify below):  1. Return Receipt Postcard 2. Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX and 205XX (3 pages)  3. Sealed Express Mail Package- EV051081754US containing materials listed in item No. 2 (1 envelope)  4. Declaration of Michelle Turner in Support of Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  Frinted Notice of Suspension of Service by USPS (1 page)	Amendment / Re	eply		Licensing-related Papers		Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
Extension of Time Request  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Information Disclosure Statement  Request for Refund  Response to Missing Parts/Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  Provisional Application  Power of Attorney, Revocation Change of Correspondence Address  Terminal Disclaimer  Information Disclosure Statement  Request for Refund  Request for Refund  CD, Number of CD(s)  Response to Missing Parts/Incomplete Application  Response to Missing Parts  Under 37 CFR 1.52 or 1.53  Provisional Application  Terminal Disclaimer  Information Disclosure Statement  Request for Refund  Request for Refund  CD, Number of CD(s)  Status Letter  XX Other Enclosure(s) (please identify below):  1. Return Receipt Postcard  2. Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  Printed Notice of Suspension of Service by USPS (1 page)	After Final	I		Petition		Proprietary Information
Express Abandonment Request    Change of Correspondence Address   XX   Silentify below):	Affidavits/d	declaration(s)		Petition to Convert to a Provisional Application		Status Letter
Information Disclosure Statement  Request for Refund  Request for Refund  Certified Copy of Priority Document(s)  Response to Missing Parts/Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts  Incomplete Application  Response to Missing Parts  Incomplete Application  2. Submission of Original Filing of Non-Provisional Patent  Application Under Suspension of "Express Mail" Service to Zip Codes 202XX and 205XX (3 pages)  3. Sealed Express Mail Package- EV051081754US containing materials listed in item No. 2 (1 envelope)  4. Declaration of Michelle Turner in Support of Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  5. Printed Notice of Suspension of Service by USPS (1 page)	Extension of Tim	ne Request		Power of Attorney, Revocation Change of Correspondence Address	xx	Other Enclosure(s) (please identify below):
Information Disclosure Statement  Request for Refund  Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX and 205XX (3 pages)  Response to Missing Parts/Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts under 37 CFR 1.52 or 1.53  Response to Missing Parts under 37 CFR 1.52 or 1.53	Express Abandonment Request			Termınal Disclaimer		Submission of Original Filing of
CD, Number of CD(s)   202XX and 205XX (3 pages)	Information Disclosure Statement			Request for Refund		Application Under Suspension of "Express Mail" Service by U.S.
Response to Missing Parts under 37 CFR 1.52 or 1.53  4. Declaration of Michelle Turner in Support of Submission of Original Filing of Non-Provisional Patent Application Under Suspension of "Express Mail" Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 pages)  5. Printed Notice of Suspension of Service by USPS (1 page)	Certified Copy of Priority Document(s)  CD, Number of CD(s)				Postal Service to Zip Codes 202XX and 205XX (3 pages)	
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5. Printed Notice of Suspension of Service by USPS (1 page)	Service by U.S. Postal Service to Zip Codes 202XX AND 205XX (3 nages)					
	5. Printed Notice of Suspension of Service by USPS (1 page)					
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm or Aloysius T.C. AuYeung, Reg. No. 35,432		Aloysius T.C. AuY	eung,	Reg. No. 35,432		
Individual name COLUMBIA IP LAW GROUP, PC		0011114014 10 1 4144 00 01 0 0				
Signature		NUM				
Date November 27, 2001	Date	November 27, 200	1			

	CERTIFICATE OF MAIL	ING
I hereby certify that this corresp mail in an envelope addressed	ondence is being deposited with the United State: Commissioner for Patents, Washington, DC	tes Postal Service with sufficient postage as first class 20231 on this date: 11/27/01
Typed or printed name	Heather L. Adamson	
Signature	Mitthe Olda Mann)	Date 11/27/01

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Not yet assigned

November 21, 2001

Complete if Known

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Filing Date

Application Number

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

1,292.00

**TOTAL AMOUNT OF PAYMENT** 

First Named Inventor	Satoshi Nakajima
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	41020.P005

**METHOD OF PAYMENT** FEE CALCULATION (continued) The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: 1. 🗹 3. ADDITIONAL FEES Large Entity Small Deposit Entity Account 501569 Number Fee Description Fee Paid Code (\$) Code (\$) Deposit Columbia IP Law Group, PC 105 Account 130 205 65 Surcharge - late filing fee or oath Name Surcharge - late provisional filing fee or 127 50 227 25 cover sheet Charge Any Additional Fee Required Under 37 CFR 1 16 and 1 17  $\mathbf{V}$ 139 130 139 130 Non-English specification Applicant claims small entity status See 37 CFR 1 27  $\square$ 147 2.520 147 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 112 920\* 112 9201 2. Payment Enclosed: Examiner action Requesting publication of SIR after Money Order 113 1.840 113 1,840\* Check Credit Card Examiner action 115 110 215 Extension for reply within first month **FEE CALCULATION** 116 400 216 Extension for reply within second month 1. BASIC FILING FEE 117 920 217 Extension for reply within third month Small Entity Large Entity Fee (\$) Fee Fee Fee Description 118 1,440 218 Extension for reply within fourth month Code Fee Paid Code (\$) 128 1,960 228 980 Extension for reply within fifth month 740 101 Utility filing fee 201 370 370 00 119 320 219 106 330 206 165 Design filing fee 160 Notice of Appeal 107 510 207 255 Plant filing fee 120 320 220 160 Filing a bnef in support of an appeal 108 740 208 370 Reissue filing fee Request for oral hearing 121 280 221 140 114 760 214 Provisional filing fee 138 1,510 138 Petition to institute a public use proceeding SUBTOTAL (1) 140 110 240 (\$) Petition to revive - unavoidable 370.00 141 1,280 241 Petition to revive - unintentional 2. EXTRA CLAIM FEES 142 1,280 242 Utility issue fee (or reissue) Fee from Extra Claims Fee Paid 143 243 230 Design issue fee below Total Claims -20\*\*= 84 756.00 9.00 144 620 244 310 Plant issue fee Independent 42.00 126 00 122 130 122 3 130 Petitions to the Commissioner 123 50 123 50 Petitions related to provisional applications Multiple Dependent 126 180 126 180 Submission of Information Disclosure Strnt Large Entity Small Entity Recording each patent assignment per 581 40 581 40 40.00 Fee Description property (times number of properties) (\$) Code (\$) Filing a submission after final rejection 146 740 246 103 18 203 9 Claims in Excess of 20 (37 CFR § 1.129(a)) 102 84 202 42 Independent claims in excess of 3 For each additional invention to be 149 249 370 104 280 204 140 Multiple dependent claim, if not paid examined (37 CFR § 1.129(b)) 109 84 209 42 \*\* Reissue independent claims over 179 740 279 Request for Continued Examination (RCE) original patent Request for expedited examination 110 169 900 18 210 \* Reissue daims in excess of 20 and over 169 of a design application original patent Other fee (speafy) SUBTOTAL (2) (\$) 882.00 \*\*or number previously paid, if greater; For Reissues, see abov \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40.00

SUBMITTED BY Complete (if applicable)							
Name (Print/Type)	Aloysius T.C. AuYeung	Registration No. (Attorney/Agent)	35,432	Telephone	503-595-2800		
Signature	tells	7		Date	November 21, 2001		

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

### Satoshi Nakajima

**Application No.:** 

To Be Assigned

Filed:

November 21, 2001

For:

FIELD EXTENSIBLE CONTROLLEE SOURCED UNIVERSAL REMOTE

CONTROL METHOD AND APPARATUS

Commissioner for Patents
Box Filing Date
U.S. Patent and Trademark Office
P.O. Box 2327,
Arlington, VA 22202

Examiner: To Be Assigned

Art Unit: To Be Assigned

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as "Registered Mail" in an envelope addressed to: Commissioner for Patents, Box Filing Date, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202

Normher 27, 2001

Heather L. Adamson
TYPED OR PRINTED NAME

SIGNATURE

Receipted is

SUBMISSION OF ORIGINAL FILING OF NON-PROVISIONAL PATENT

APPLICATION UNDER SUSPENSION OF "EXPRESS MAIL" SERVICE BY

U.S. POSTAL SERVICE TO ZIP CODES 202XX AND 205XX

Sir:

Submitted herewith are:

- (a) A sealed Express Mail Package (No. EV051081754US) containing the original filing package of the captioned application including
  - (1) the captioned application (50 pages),

- (2) Check#05545 in the amount of \$1,292.00 for the applicable fees,
- (3) Declaration and Power of Attorney (6 pages)
- (4) Assignment of the captioned application (4 pages)
- (5) Drawings (10 sheets of 10 Fig.s)
- (6) Fee Transmittal Sheet (1 page in duplicate)
- (7) Original Transmittal Letter (1 page), and
- (8) Return Receipt Postcard; and
- (b) A declaration by Michelle Turner, attesting to the fact that the above described sealed Express Mail package was prepared, completed and taken to the U.S. Postal Service Station located at Highway 99, Tigard, Oregon, on or about 4:30pm Wednesday, November 21, 2001, for deposit and mailing to the U.S. Patent and Trademark Office, but was not accepted due to the suspension of Express Mail Service to Zip Codes 202xx and 205xx in effect at the time.

Applicants respectfully request the enclosed application be accepted, and accorded the priority date of <u>November 21</u>, 2001.

Please charge any shortages and credit any overages to our Deposit Account No. 501569.

Respectfully submitted,

COLUMBIA IP LAW GROUP, PC

Date: November 27, 2001

Aloysius T.C. AuYeung

Reg. No. 35,432

10260 SW Greenburg Road Portland, Oregon 97223 Phone: (503) 595-2800

FAX: (503) 595-2804

41020.P005 Patent

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Satoshi Nakajima

Application No.:

To Be Assigned

Filed:

November 21, 2001

For:

FIELD EXTENSIBLE CONTROLLEE

SOURCED UNIVERSAL REMOTE

CONTROL METHOD AND APPARATUS)

Commissioner for Patents
Box Filing Date
U.S. Patent and Trademark Office
P.O. Box 2327,
Arlington, VA 22202

Examiner: To Be Assigned

Art Unit: To Be Assigned

#### **CERTIFICATE OF MAILING**

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mole

Heather L. Adamson
TYPED OR PRINTED NAME

SIGNATURE

DECLARATION BY MICHELLE TURNER IN SUPPORT OF SUBMISSION OF
ORIGINAL FILING OF NON-PROVISIONAL PATENT APPLICATION UNDER
SUSPENSION OF "EXPRESS MAIL" SERVICE BY U.S. POSTAL SERVICE
TO ZIP CODES 202XX AND 205XX

Sir:

- I, Michelle J. Turner, hereby declare that
- (a) I am a resident of Washington County, Oregon;

- (b) I am employed as a Paralegal by the Firm of Columbia IP Law Group, PC;
- (c) My regular duties include preparation of U.S. Non-Provisional Patent Application filing packages, including taking and depositing such packages with the U.S. Postal Services for mailing to the U.S. Patent and Trademark Office;
- (d) On Wednesday, November 21, 2001, at the request of the preparation attorney, I prepared the sealed Express Mail package bearing the Express Mail no. EV051081754US, and on or about 4:30pm on said day, took said package to U.S. Postal Service Station located at Highway 99, Tigard, Washington County, Oregon for deposit and mailing to the U.S. Patent and Trademark Office;
- (e) Said package was not accepted by the U.S. Postal Service, and the reason for non-acceptance, as conveyed by the U.S. Postal Service Personnel on duty at the time, was because Express Mail Service to Zip Codes 202xx and 205xx was in suspension at the time;
- (f) A printed notice of said suspension of service was provided to me by the U.S. Postal Service Personnel on duty at the time (attached herewith as Exhibit A); and
- (g) Said package has remained in my custody through the Thanksgiving holidays and weekend, and to the best of my knowledge, has not been opened or altered.

I hereby further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code.

Date: November 27, 2001

Michelle J. Turner

10260 SW Greenburg Road, Portland, Oregon 97223 Phone: (503) 595-2800

FAX: (503) 595-2804